JAP7 Rec'd PCT/PTO 26 MAY 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 06-379 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2004/004992 26 November 2004 28 November 2003 TITLE OF INVENTION Optical Fibre Amplifier APPLICANT(S) FOR DO/EO/US Richard M. Jenkins; Mark E. McNie; Nicola Price; Craig D. Stacey Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: $\boxtimes$ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. M This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 3). $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). Ш An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau), have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern documents) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. 15. L A substitute specification. 16. | | A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2and 37 CFR 1.821- 1.825. 18. second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICA	TION I	NO. (if know	n, see 37 CFR 1.5	) INTERNATIONAL PCT/GB2004/0049		ATION NO.	ATTORNEY'S DO	OCKET NUMBER
20. Other items or information: 6 sheet of Drawings, Filing Fee Check, Return Receipt Postcard, Copy of the International Search Report, Certificate of Express Mailing, Express Mail Label No. EV839408035US								
The follo	wing fo	ees have be	en submitted				CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))						\$ 300.00		
22. Examination fee (37 CFR 1.492(c))								
If the written opinion prepared by ISA/Us or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							\$ 200.00	
If the written opinion IPEA/US Search fee (37 Content of Internation International Sea	on prepindical FR 1.4 nal Se rch Re y comr	tes all claims 45(a)(2)) ha arching Auth port prepare nunicated to	\$ 400.00					
		AL OF 21, 2					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) 37 CFR 1.492(j))  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extr	a Sheets	Sheets Number of each additional 50 or fraction thereof (round up to a whole number)			RATE		
39 -100 =	(	) /50 =			x \$25	0	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).							\$	
CLAIMS		NUME	BER FILED	NUMBER EXTRA		RATE	\$	
Total claims		28	-20 =	8	×	\$50	\$ 400.00	
Independent cla	ims	1	-3 =	0	Х	\$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$360	\$		
				TOTAL OF ABO	OVE CAL	CULATIONS =	\$ 1,300.00	
Applicant c	laims s	small entity s	status. See 37 CFF	R 1.27. Fees above are red	uced by 1	<b>/</b> 2.		
					<u> </u>	SUBTOTAL =	\$ 1,300.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$		
TOTAL NATIONAL FEE =							\$	1,300.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$		
TOTAL FEES ENCLOSED =							\$	1,300.00
							Amount to be refunded:	\$
							Amount to be charged:	\$

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a.	$\boxtimes$	A check in the amount of $$1,300.00$ to cover the above fees is enclose	d.						
b.		lease charge my Deposit Account No in the amount of \$ to cover the above fees. duplicate copy of this sheet is enclosed.							
C.	$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2490</u> . A duplicate copy of this sheet is enclosed.							
d.		Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
A. Mo 30	Blair I Donn 0 S. V	- CORRESPONDENCE TO: Hughes ell Boehnen Hulbert & Berghoff LLP Vacker Drive , IL 60606	SIGNATURE  A. Blair Hughes  NAME  32,901  REGISTRATION NUMBER						
Date: May 26, 2006									
	4;								
	•								



## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail No. EV839408035US

Deposited: May 26, 2006

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Patent Application of: Jenkins et al.

Title: Optical Fibre Amplifier

X Copy of International Application

X Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)

X Postcard

X Check

X Application Data Sheet

X Preliminary Amendment

X 6 Sheets of Drawings

X Copy of International Search Report

X Executed Declaration/Power of Attorney

Attorney Docket No.: 06-379